

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA**

**CASE NO.: 16-cv-21301-GAYLES**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ARIEL QUIROS, WILLIAM STENGER,  
JAY PEAK, INC., Q RESORTS, INC.,  
JAY PEAK HOTEL SUITES L.P.,  
JAY PEAK HOTEL SUITES PHASE II. L.P.,  
JAY PEAK MANAGEMENT, INC.,  
JAY PEAK PENTHOUSE SUITES, L.P.,  
JAY PEAK GP SERVICES, INC.,  
JAY PEAK GOLF AND MOUNTAIN SUITES L.P.,  
JAY PEAK GP SERVICES GOLF, INC.,  
JAY PEAK LODGE AND TOWNHOUSES L.P.,  
JAY PEAK GP SERVICES LODGE, INC.,  
JAY PEAK HOTEL SUITES STATESIDE L.P.,  
JAY PEAK GP SERVICES STATESIDE, INC.,  
JAY PEAK BIOMEDICAL RESEARCH PARK L.P.,  
AnC BIO VERMONT GP SERVICES, LLC,

Defendants,

JAY CONSTRUCTION MANAGEMENT, INC.,  
GSI OF DADE COUNTY, INC., NORTH EAST  
CONTRACT SERVICES, INC., Q BURKE  
MOUNTAIN RESORT, LLC,

Relief Defendants, and

Q BURKE MOUNTAIN RESORT, HOTEL AND  
CONFERENCE CENTER, L.P.,  
Q BURKE MOUNTAIN RESORT GP SERVICES, LLC

Additional Defendants

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**NOTICE OF FILING LETTER TO THE COURT**

Michael I. Goldberg, as the court-appointed receiver (the "Receiver"), in this action files the attached Letter from the Office of Governor of the State of Vermont, which was filed in *Goldberg v. Raymond James Financial, Inc. et al.*, Case No. 1:16-cv-21831-JAL (S.D. Fla.), on

May 2, 2017, but addressed to this Court in support of the pending settlement with Raymond James & Associates, Inc.

Dated: May 3, 2017

Respectfully submitted,

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By: /s/ Jeffrey C. Schneider  
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**CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that a true and correct copy of the foregoing was served on this May 3, 2017 via the Court's notice of electronic filing on all CM/ECF registered users entitled to notice in this case as indicated on the attached Service List.

By: /s/ Jeffrey C. Schneider  
JEFFREY C. SCHNEIDER, P.A.

**SERVICE LIST**

**1:16-cv-21301-DPG Notice will be electronically mailed via CM/ECF to the following:**

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PHILIP B. SCOTT  
Governor



State of Vermont  
OFFICE OF THE GOVERNOR

April 20, 2017

Honorable Darrin P. Gayles  
United States District Court  
Wilkie D. Ferguson United States Courthouse  
400 North Miami Avenue, Courtroom 11-1  
Miami, Florida 33128

Dear Judge Gayles:

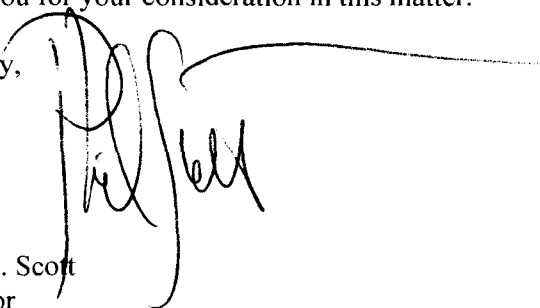
I am writing to express the State of Vermont's strong support for the proposed settlement between Receiver Michael I. Goldberg and Raymond James & Associates in the federal court case of *Michael I. Goldberg as Receiver v. Raymond James Financial, Inc. et al.*, 1:16 CV-21831, U.S. Dist. Ct (S.D. Fla). We appreciate Mr. Goldberg's hard work and efforts in bringing this proposed settlement to fruition and believe that the Court should approve it.

The State of Vermont has an important interest in the financial health of its citizens and businesses. Our understanding is that the settlement will provide funds for full payment for vendors, contractors and subcontractors of Jay Peak and Burke Mountain Hotel who are owed money. Many of these entities are Vermont businesses who employ hardworking Vermonters. Specifically, we understand that 241 Vermont businesses and other entities would receive payment under the proposed settlement, totaling over \$9 million. The proposed settlement also boosts the Vermont economy by calling for payment to a Vermont contractor to finish construction connected with the Stateside Project at a cost of over \$17 million. Further, the proposed settlement also provides funds to pay back the Phase I investors in Jay Peak and investors in the ANC-Bio project in Newport, Vermont in the amount of \$82.3 million.

My Administration believes it is important that Vermont businesses be fairly paid for their hard work, and investors be made whole to the extent possible. The Receiver's settlement furthers those goals in an important way. We fully support the settlement.

Thank you for your consideration in this matter.

Sincerely,

  
Philip B. Scott  
Governor

PBS/kp